

### List of regulator recognition on CFP® Certification Program

Regulatory body	Recognition or exemption	Reference
Securities and Futures Commission ("SFC")	CFP certification is one of the professional qualifications meeting the academic / industry requirements for responsible officer and representative of licensed corporations. CFP certificants are not required to take the recognised industry qualifications, such as the HKSI Licensing Examination Papers 7, 8, 9, 11 and 12. CFP Certificants need to pass Local regulatory framework papers.	4.2 and 4.3 of the SFC Guidelines on Competence
Insurance Authority ("IA")	Exemption from the requirement to pass the Investment-linked Long Term Insurance ("IL Paper") of the Insurance Intermediaries Qualifying Examination ("IIQE") could be granted to CFP certificants.	Annex 1 of Guideline on "Fit and Proper" Criteria for Licensed Insurance Intermediaries under the Insurance Ordinance (Cap.41) (GL23)
Insurance Authority ("IA")	CFP certificants are considered to have satisfied the annual CPD requirements for the Assessment Period (except for the compulsory CPD hours on "Ethics or Regulations"5) under Type 6: Fulfilment of CPD Requirements of Specified Qualifications; if: (a) they have satisfied the CPD (or similar) requirements imposed by the relevant institutes or professional bodies granting such Specified Qualifications for	Annex I of Guideline on Continuing Professional Development for Licensed Insurance Intermediaries (GL24)

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	the Assessment Period; and (b) the CPD (or similar) requirements in (a) should be no less than the annual CPD requirements as set out in paragraph 3.1 of IA's Guideline.	
Insurance Authority ("IA")	CFP certificants fulfil additional competence requirements apply to brokers offering investment choices and premium allocations services under ILAS.	Practice Note detailing enhanced competence requirements for insurance brokers providing advisory services on Investment-Linked Assurance Scheme (ILAS) products.
Macao Special Administrative Government Education and Youth Development Bureau	CFP certification program is one of the eligible programs under the Government's subsidy scheme.	2023-2026 Continuous Development and Improvement Program

For detailed information, please refer to guidelines of respective regulators. This disclaimer serves to highlight that the original source should be consulted for accurate and up-to-date details.

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